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10/574,373	12/03/2007	Dirk Boecker	PEL-2840	9712
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Goodwin Procter LLP			EXAMINER	
Attn: Patent Administrator			SZMAL, BRIAN SCOTT	
135 Commonwealth Drive				
Menlo Park, CA 94025-1105				
			ART UNIT	PAPER NUMBER
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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

# Office Action Summary

## Application No.

10/574,373

## Applicant(s)

BOECKER, DIRK

## Examiner

Brian Szmaj

## Art Unit

3736

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 and 20 is/are rejected.
- 7) ☒ Claim(s) 13-19 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 31 March 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-850)
- 4) ☐ Interview Summary (PTO-413)
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_
- Paper No(s)/Mail Date See Continuation Sheet

Continuation of Attachment(s) 3). Information Disclosure Statement(s) (PTO/SB/08), Paper No(s)/Mail Date :5/21/07; 4/17/08; 7/25/08; 8/26/08; 11/4/08; 12/16/08; 3/16/09; 5/27/09.

***Claim Objections***

1. Claim 1 is objected to because of the following informalities: "housing.." should read as "housing.". Appropriate correction is required.
2. Claims 2, 3 and 5 are objected to because of the following informalities: "position" line 3 of Claims 2 and 3 and line 4 of Claim 5, should read as "positioned" to be grammatically correct. The word "lease" should read as "least" in each of the claims. In Claim 5, "a penetrating members" appears it should read as "a penetrating member". Appropriate correction is required.
3. Claim 16 is objected to because of the following informalities: "users" should read as "user's". Appropriate correction is required.
4. Claims 13-19 are objected to under 37 CFR 1.75(c) as being in improper form because a multiple dependent claim can only refer to other claims in the alternative. See MPEP § 608.01(n). Accordingly, the claims 13-19 not been further treated on the merits.

***Claim Rejections - 35 USC § 112***

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:  

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
6. Claim 12 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

7. Claim 12 discloses the use of the 1394 IEEE protocol. The 1394 IEEE protocol was first introduced in 1995 as IEEE Std. 1394-1995, then the IEEE Std. 1394-1995 was amended in 2000, 2002 and 2006 to become IEEE Std. 1394a-2000 amendment, the IEEE Std. 1394b-2002 amendment, and the IEEE Std. 1394c-2006 amendment, respectively. On June 12, 2008, all these amendments as well as errata and some technical updates were incorporated into a superseding standard IEEE Std. 1394-2008. It is unclear to the Examiner exactly which 1394 IEEE protocol the Applicants are attempting to claim.

8. Claim 12 contains the trademark/trade name Bluetooth. Where a trademark or trade name is used in a claim as a limitation to identify or describe a particular material or product, the claim does not comply with the requirements of 35 U.S.C. 112, second paragraph. See *Ex parte Simpson*, 218 USPQ 1020 (Bd. App. 1982). The claim scope is uncertain since the trademark or trade name cannot be used properly to identify any particular material or product. A trademark or trade name is used to identify a source of goods, and not the goods themselves. Thus, a trademark or trade name does not identify or describe the goods associated with the trademark or trade name. In the present case, the trademark/trade name is used to identify/describe a wireless transmission protocol and, accordingly, the identification/description is indefinite.

9. Claims 3 and 4 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

The claims disclose a wireless device that allows "programs to be downloaded to the processor". It is unclear to the Examiner how a computer program can be downloaded to a processor, since the processor does not have any memory for storing computer programs.

***Claim Rejections - 35 USC § 102***

10. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

11. Claim 1 is rejected under 35 U.S.C. 102(e) as being clearly anticipated by Aceti et al (2003/0153900 A1).

Aceti et al disclose an analyte monitor and further disclose all of the claimed elements in Claim 1. See at least Figure 27.

***Claim Rejections - 35 USC § 103***

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 2 and 3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ware et al (2002/0019747 A1) in view of Sahay et al (4,442,972).

Ware et al disclose a means for monitoring health and further disclose a housing; a visual display on the housing (PDAs have a display and a housing); a processor driving the display, wherein the processor runs software that is modifiable to provide a variable user interface on the display; and a wireless communication device allowing programs to be downloaded to the processor by wireless communication (PDAs inherently have the ability to download programs onto the device). See Paragraph 0067.

Ware et al however does not disclose the display having at least one visual indicator positioned next to a corresponding marking on the housing.

Sahay et al disclose a programmable digital thermostat and further disclose the display having at least one visual indicator positioned next to a corresponding marking on the housing. See Figure 3.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the housing and display of Ware et al to include corresponding indicators on the housing adjacent to the visual indicator, as per the teachings of Sahay et al, since it would provide a means of displaying information on the device without requiring a large display.

14. Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Stevens et al (2004/0176705 A1) in view of Aceti et al (2003/0153900 A1) in view of Luna et al (2002/0123335 A1).

Stevens et al disclose a integrated lancet and PDA system and further disclose a housing; penetrating members positioned in the housing; an analyte detecting member coupled to the sample chamber, the analyte detecting member being configured to determine a concentration of an analyte in a body fluid; a visual display on the housing; a processor driving the visual display, the processor runs software that is modifiable to provide a variable user interface on the visual display; and a wireless communication device allowing programs to be downloaded to the processor by wireless communication. See Paragraphs 0012, 0024-0026, 0028, 0029, 0032 and 0038.

Stevens et al however fail to disclose using a sample size of less than 1  $\mu\text{L}$ .

Aceti et al disclose an ambulatory analyte monitor and further disclose the analyte detecting member being configured to determine a concentration of an analyte in a body fluid using a sample of less than 1  $\mu\text{L}$  of a body fluid disposed in the sample chamber; a visual display on the housing. See Paragraphs 0104, 0106, 0137, 0143 and 0163.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the means of Stevens et al to include the use of a sample size of less than 1  $\mu\text{L}$ , as per the teachings of Aceti et al, since a smaller sample size requires less time to measure the analyte concentration while being less painful to the user.

Stevens et al and Aceti et al however fail to disclose the use of a screensaver on the display.

Luna et al discloses the use of a screensaver on a computer. See Paragraph 0027.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the combination of Stevens et al and Aceti et al to include the use of a screensaver, as per the teachings of Luna et al, since it is well known to utilize screensavers on computer devices to protect the screen while the device is not in use.

15. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bylund et al (2003/0212379 A1) in view of Sahay et al (4,442,972).

Bylund et al disclose a means for monitoring analytes and further disclose a housing; a penetrating member in the housing; a display in the housing; a processor driving the display, wherein the processor runs software that is modifiable to provide a variable user interface on the visual display; and a series of buttons on the housing for changing lancing settings shown on the display (the buttons on the housing can be used to turn the device on and off, thereby controlling the lancing settings). See whole document, in particular, Paragraphs 0070-0073.

Bylund et al however fail to disclose the display having at least one visual indicator positioned next to a corresponding marking on the housing.

Sahay et al, as discussed above, disclose a programmable digital thermostat and further disclose the display having at least one visual indicator positioned next to a corresponding marking on the housing. See Figure 3.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the device of Bylund et al to include corresponding indicators on the housing adjacent to the visual indicator, as per the teachings of Sahay et al, since it would provide a means of displaying information on the device without requiring a large display.

16. Claims 6 and 7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aceti et al (2003/0153900 A1) in view of Britt, Jr. (2001/0037355 A1).

Aceti et al, as discussed above, disclose an analyte monitor, and further disclose the analyte monitor is a handheld device. See at least Figure 27.

Aceti et al however fail to disclose downloading software to the device.

Britt, Jr. discloses a system that allows the device to download software. See Paragraphs 0026-0028.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the device of Aceti et al to include the ability to download software, as per the teachings of Britt, Jr. since it is well known that networked devices have the ability to download and upload information through a network.

17. Claims 8-12 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aceti et al (2003/0153900 A1) in view of Ware et al (2002/0019747 A1).

Aceti et al, as discussed above, disclose an analyte monitor, but fail to disclose answering a plurality of questions, analyzing the responses to the questions, downloading programs, the questions are from a personality test, the answers are

transmitted to the server for analysis, and the answers are transmitted wirelessly using infrared. See Paragraphs 0104, 0106, 0137, 0143 and 0163.

Ware et al disclose a means for health assessment and monitoring and further disclose answering a plurality of questions, analyzing the responses to the questions, downloading programs, the questions are from a personality test, the answers are transmitted to the server for analysis, and the answers are transmitted wirelessly using infrared. See Figures 7-1 through 7-10 and 8-1 through 8-28; Paragraph 0067, 0113, 0114, 0141 and 0143.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the means of Aceti et al to include the means of Ware et al, since it is well known in the art to utilize networks, including wireless connections, to transmit data to and from computers as well as using remote sites to analyze data obtained from the local site.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Brian Szmaj whose telephone number is (571)272-4733. The examiner can normally be reached on Monday-Friday, with second Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Max Hindenburg can be reached on (571) 272-4726. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Brian Szmal/  
Examiner, Art Unit 3736